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<b>(21) International Application Number:</b> PCT/US96/13626 <b>(22) International Filing Date:</b> 26 August 1996 (26.08.96)  <b>(30) Priority Data:</b> 08/519,039 24 August 1995 (24.08.95) US  <b>(60) Parent Application or Grant</b> <b>(63) Related by Continuation</b> US 08/519,039 (CIP) Filed on 24 August 1995 (24.08.95)  <b>(71) Applicant (for all designated States except US):</b> THEOBALD SMITH RESEARCH INSTITUTE, INC. [US/US]; 18 Tremont Street, Boston, MA 02108 (US).  <b>(72) Inventor; and</b> <b>(75) Inventor/Applicant (for US only):</b> SU, Xing [CN/US]; 221 Lexington Street, Belmont, MA 02178 (US).  <b>(74) Agents:</b> YANKWICH, Leon, R. et al.; Banner & Witcoff, Ltd., Ten South Wacker Drive, Chicago, IL 60606 (US).			<b>(81) Designated States:</b> AU, CA, CN, JP, US, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> METHOD AND APPARATUS FOR ISOLATING NUCLEIC ACID			
<b>(57) Abstract</b>  The invention features a method of isolating nucleic acid in a substantially purified form, including the steps of: a) contacting a biological sample which contains nucleic acid with a matrix comprising a solid hydrophilic organic polymer without an effective positive charge under conditions which permit the nucleic acid to bind to the matrix; and b) recovering nucleic acid from the matrix.			

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**METHOD AND APPARATUS FOR ISOLATING NUCLEIC ACID  
FIELD OF THE INVENTION**

The invention relates to nucleic acid isolation, and more particularly to compositions and methods useful for isolation of nucleic acids.

**BACKGROUND OF THE INVENTION**

Nucleic acid purification from biological sources or post-enzymatic reactions is frequently a primary step in molecular biology studies and diagnostic tests. Many techniques have been developed to isolate DNA and RNA, for example, phenol extraction, alcohol precipitation, density gradients, dialysis, ion exchange, electroelution, silica binding, membrane filtration, and column filtration.

U.S. Patent No. 5,346,994 to Chomczynski discloses a liquid nucleic acid isolation method employing a reagent mixture of phenol, chaotropic salts and stabilizers. The procedure involves cell lysis and separation of DNA, RNA and proteins in different phases by centrifugation.

U.S. Patent Nos. 5,187,083 and 5,234,824 to Mullis disclose DNA isolation methods which rely on physical trapping of high molecular weight DNA on membranes, such as cellulose acetate filters. These methods are designed for large DNA and are not effective for generally isolating DNAs of any size or molecular weight.

A common approach to isolating and purifying nucleic acids involves binding of the negatively charged phosphodiester backbone of the nucleic acid to a positively charged polymer by electrostatic interactions (ion exchange).

1 U.S. Patent No. 4,935,342 to Seligson et al. discloses a  
2 nucleic acid isolation method in which positively charged  
3 anion exchange materials are utilized. The nucleic acids are  
4 released from the matrices in solutions of high ionic strength  
5 (i.e., high salt concentration). However, removal of the salt  
6 is often necessary before the nucleic acids may be utilized  
7 further.

8 U.S. Patent No. 5,329,000 to Woodard et al. discloses a  
9 method of isolating DNA using a silicon tetrahydrazide matrix.  
10 Similarly, U.S. Patent No. 5,342,931 to Woodard et al.,  
11 discloses a DNA isolation method using a matrix of hydrated  
12 silica. In both cases, DNA binds to the inorganic matrix and  
13 is released in heated water.

14 U.S. Patent No. 4,923,978 discloses a method of isolating  
15 nucleic acids using hydroxylated matrices in a column. In  
16 this approach, proteins are subtracted from the biological  
17 sample via adsorption onto the matrix, and nucleic acids flow  
18 through the matrix. However, because this procedure is  
19 subtractive, further purification and concentration of the  
20 nucleic acid is often required.

21 One object of the invention is to isolate nucleic acid  
22 from a biological sample in a simple, fast, and efficient  
23 process that avoids heating the nucleic acid to obtain  
24 elution. Another object is to provide a method which avoids  
25 high speed centrifugation and phase separation to isolate a  
26 nucleic acid. Another object is to provide for highly  
27 quantitative recovery of nucleic acid regardless of its  
28 molecular weight. Another object of the invention is to

1 isolate nucleic acid from a number of samples simultaneously,  
2 thus saving time and effort and providing for subsequent  
3 simultaneous processing of the samples. Yet another object of  
4 the invention is to provide for simultaneous processing and  
5 recovery of even small amounts of nucleic acids from multiple  
6 samples. Another object of the invention is to avoid the risk  
7 of loss of an isolated nucleic acid by providing a nucleic  
8 acid preparation which does not require further concentration  
9 from a large volume or does not require further purification.  
10 Yet another object of the invention is to provide for high  
11 yield recovery of nucleic acid within a broad size range.  
12 Another object is to provide a method of nucleic acid  
13 isolation that is environmentally friendly, i.e., that avoids  
14 the required use of toxic chemicals, corrosive agents or  
15 chaotropic salts.

16 SUMMARY OF THE INVENTION

17 The invention is based on a method and apparatus for  
18 nucleic acid isolation. The invention utilizes the properties  
19 of aggregated nucleic acids to isolate and purify nucleic  
20 acids from contaminants such as other cellular components.  
21 The invention is based on the discovery that aggregated  
22 nucleic acid is capable of binding reversibly to a solid,  
23 hydrophilic organic matrix without an effective positive  
24 charge.

25 The invention therefore encompasses a method of isolating  
26 nucleic acid in a substantially purified form, the method  
27 comprising the steps of: a) contacting a biological sample

1 comprising aggregated nucleic acid with a matrix other than  
2 cellulose or a cellulose matrix suspension under conditions  
3 which permit nucleic acid in the sample to reversibly bind to  
4 the matrix, the matrix comprising a solid hydrophilic organic  
5 polymer without an effective positive charge; and b)  
6 recovering nucleic acid from the matrix.

7 Preferably, in the recovering step, the nucleic acid is  
8 eluted from the matrix in substantially purified and  
9 concentrated form without heat; that is, preferably  
10 substantially at room temperature or at about 80°F or less,  
11 i.e., in the range of about 50-80°F or about 60-80°F. The  
12 recovery step may also comprise dissociation and/or  
13 solubilization of the nucleic acid aggregates in water or a  
14 low salt buffer.

15 As used herein, the terms "contacting a biological sample  
16 with a matrix" refers to any means known in the art of bringing  
17 the nucleic acid into physical contact with a matrix as  
18 described herein.

19 As used herein, "biological sample" refers to a sample of  
20 biological or biochemical origin; "substantially purified"  
21 refers to recovery of nucleic acid which is at least 80% and  
22 preferably 90-95% purified with respect to removal of a  
23 contaminant, e.g., cellular components such as protein, lipid  
24 or salt; thus, the term "substantially purified" generally  
25 refers to separation of a majority of cellular proteins or  
26 reaction contaminants from the sample, so that compounds  
27 capable of interfering with the subsequent use of the isolated  
28 nucleic acid are removed; "bind to" refers to reversible

1 binding via weak forces such as Van der Waals interactions,  
2 and does not refer to electrostatic interactions, affinity  
3 binding or physical trapping. As used herein, "Van der Waals  
4 interactions" refer to the weak forces between atoms and  
5 molecules due to induced or instantaneous dipole movements,  
6 which may allow packing together of organic compounds such as  
7 nucleic acid and other hydrophilic organic materials (matrix).  
8 "Electrostatic interactions" refer to positive (+) and  
9 negative (-) charge attractions; "affinity binding" refers to  
10 the sequence- or conformation-specific and directional binding  
11 between a molecule and its complementary molecule; and  
12 "physical trapping" refers to retaining of nucleic acid based  
13 on the relatively large size of the nucleic acid and small  
14 size of holes in the matrix.

15 As used herein, "without an effective positive charge"  
16 refers to a matrix having a net (i.e., overall) neutral or a  
17 net negative charge, or a matrix which does not possess  
18 sufficient positive charge to attract, bind or retain a  
19 measurable or detectable (i.e., by optical density measurement  
20 at 260) amount of nucleic acid in low ionic strength buffer  
21 (i.e., <10 mM of Na<sup>+</sup>, pH 5-10). A net charge is calculated by  
22 adding together the positive and negative charges in the  
23 repeating units of the polymer that constitute the matrix.  
24 Thus, a matrix without an effective positive charge is not  
25 suitable for use as an ion exchange material for nucleic acid  
26 purification by conventional methods.

27 The terms "aggregate" and "aggregation" refer to the  
28 tendency of large macromolecules, such as nucleic acids, to

1 plastic material, can be obtained by modifying the backbone  
2 with the addition of polar groups, including hydroxyl groups  
3 (OH), carboxyl groups (COOH), amino groups (NH<sub>2</sub>), groups which  
4 are neutral at pH > 7, and thiol groups (SH), such that the  
5 surfaces of fibrous and particulate matrices comprising this  
6 polymer then possess hydrophilic properties.

7 A hydrophilic compound or a modifiable hydrophobic  
8 backbone is selected from the group of polysaccharides  
9 including cellulose, rayon, cellulose acetate, cellulose  
10 triacetate, chitin and agarose. A hydrophilic compound also  
11 may be selected from the group of protein/polypeptides  
12 including leather, silk and wool. It can also be selected  
13 from synthetic gels including polyacrylamide, hydrogel (i.e.,  
14 copolymer of poly(vinyl alcohol) and collagen). It may also  
15 be selected from the group of synthetic fibers including  
16 polyamides (nylon), polyesters, polyacrylonitrile (acrylic),  
17 polyurethane (spandex). It can also be selected from the  
18 group of synthetic plastics including polycarbonate, phenol-  
19 formaldehyde resins, polysulfide, poly(vinyl butyryl),  
20 poly(vinyl chloride), poly(vinylidene chloride),  
21 poly(ethylene), and polystyrene.

22 Fibrous or particulate forms of a polymer can be readily  
23 prepared by mechanical means well-known in the art. "Fibrous"  
24 refers to fibers of e.g., 1 micrometer - 10 micrometers and  
25 as long as 100, 1,000 or 10,000 micrometers; and "particulate"  
26 refers to particles of e.g., about 1 micron to 5 microns, or  
27 even as large as 10, 50, or 100 microns in diameter. Some  
28 examples of fibrous cellulose currently on the market include



1 Sigma CF11 cellulose catalog # C6288. An example of  
2 particulate cellulose currently on the market is microgranular  
3 cellulose Sigma C-6413 and product number CM1000, Megacell,  
4 sold by Cortex Biochemicals, San Leandro CA. Particulate  
5 cellulose includes any microgranular substance coated with  
6 cellulose, e.g., cellulose-coated magnetic beads.

7 Preferably, the aggregated nucleic acid binds to a matrix  
8 suspension.

9 As used herein, "matrix suspension" refers to an insoluble  
10 matrix immersed in a liquid such that free-floating pieces of  
11 the matrix can move freely relative to the container and  
12 relative to other free-floating pieces of matrix when the  
13 container is shaken or when the liquid is stirred. One  
14 example of a matrix suspension is cellulose fibers immersed in  
15 liquid at a density such that the liquid appears visually  
16 turbid when the container is shaken, and appears to clear when  
17 the cellulose fibers settle to the bottom of a stationary  
18 container. That is, when the container is shaken, the  
19 cellulose fibers move freely relative to the container and to  
20 each other. A matrix suspension does not refer to a  
21 suspension of a support material having an immobilized matrix  
22 attached thereto. However, the invention may encompass a  
23 suspension of sets of immobilized cellulose fibers, each set  
24 being cellulose fibers attached to each other indirectly via a  
25 support, and each set moving freely relative to other sets  
26 upon movement of the immersing liquid. That is, when shaken,  
27 all sets move freely relative to the container and each other,  
28 and cellulose fibers within a set have a fixed range of

1 movement r lativ to each other.

2 In contrast, a matrix which is not in suspension is a  
3 solid material packed into a container, for example, into a  
4 cylinder or conical-shaped container that may remain at both  
5 ends such that only the liquid, and not the solid materials,  
6 moves freely through the container. A typical non-suspension  
7 cellulose matrix is known in the art as a "column" containing a  
8 packed cellulose matrix. This type of column can retain  
9 nucleic acid by physical entrapment within the cellulose  
10 matrix. A column containing a packed matrix is not to be  
11 confused with a column containing a suspension matrix. The  
12 key to distinguishing a matrix suspension from a non-matrix  
13 suspension is the freedom of movement of the matrix relative  
14 to other particles of matrix or relative to the container.

15 A "matrix-collection" device also is useful according to  
16 the invention and refers to a cylinder or conical-shaped  
17 container that is open at both ends to liquid movement and fit  
18 with a barrier at one end to prevent solid materials from  
19 passing through.

20 In preferred embodiments, the method further includes the  
21 initial step of contacting the biological sample with a buffer  
22 under conditions to solubilize the nucleic acid, i.e., to  
23 dissolve the nucleic acid. These conditions include  
24 resuspension of the nucleic acid in an aqueous (e.g. Tris-  
25 EDTA) buffer, contacting the biological sample in a detergent  
26 buffer with a proteolytic enzyme under conditions sufficient  
27 to subject the sample to proteolysis and release of nucleic  
28 acid, contacting the biological sample with chaotropic agents,

1 or other methods known in the art to release nucleic acids  
2 from cellular components into solution.

3 The method further includes the step of aggregating the  
4 nucleic acid, wherein the nucleic acid is aggregated by  
5 contacting the biological sample with a precipitant selected  
6 from the group consisting of organic solvents, soluble organic  
7 polymers and salts, (and combinations thereof) wherein the  
8 organic solvent may be any one of isopropanol, ethanol,  
9 acetone, and organic polymers including but not limited to  
10 polyethylene glycol (PEG), and wherein the salt may include  
11 but is not limited to NaCl and LiCl. Co-precipitants, such as  
12 glycogen, also may be used to facilitate the precipitation of  
13 nucleic acid present in only small quantities; for example, in  
14 the form of heteroaggregates. The presence of a co-  
15 precipitant is not required according to the invention, but  
16 serves to increase the efficiency of aggregate formation.

17 Small molecules and digested proteins do not bind to the  
18 matrices and thus may be separated from the nucleic acid by  
19 washing the adsorbed, aggregated nucleic acid. Therefore, the  
20 method may also include a washing step using solutions that  
21 contain a precipitant at a concentration sufficient to  
22 maintain a nucleic acid in aggregated form.

23 The invention also includes an apparatus for isolating  
24 nucleic acid in a substantially purified form from multiple  
25 biological samples simultaneously, the apparatus comprising:  
26 plural housings for isolation of nucleic acid from plural  
27 biological samples, wherein each housing comprises an inlet  
28 and an outlet and defines a flowpath for flow of a biological

1 sample therethrough, the flowpath comprising a matrix  
2 comprising a solid hydrophilic organic polymer without a net  
3 positive charge, and support means for holding plural housings  
4 in place such that nucleic acid in plural biological samples  
5 may be handled and isolated simultaneously.

6 Preferably, each housing of the apparatus further  
7 includes a barrier means to allow flow of liquid along the  
8 flowpath and through the housing outlet, but to prevent the  
9 matrix from exiting the housing via the outlet. Most  
10 preferably, the housing comprises a lower portion leading to  
11 the outlet and the barrier is positioned within the lower  
12 portion of the housing. The apparatus may also include means  
13 for connecting the plural housings to a vacuum, magnetic or  
14 pressure source. The barrier may be any material which  
15 prevents the matrix from exiting the housing but which allows  
16 liquid comprising nucleic acid to flow through and exit the  
17 housing, for example, a mesh screen, cotton fibers, synthetic  
18 fibers, tissue paper, or siliconized glass fibers. The  
19 apparatus may also include a collection tray for  
20 simultaneously collecting waste or plural nucleic acid  
21 samples. In a preferred embodiment, the apparatus may be  
22 subjected to vacuum force, pressure force or low  
23 centrifugation force. In another embodiment, the apparatus is  
24 constructed such that it does not allow for cross-  
25 contamination of the samples.

26 The invention also encompasses an apparatus comprising a  
27 matrix-coated surface, for example, a flat surface onto which  
28 a sufficient amount of cellulose is coated so as to permit

1 binding of aggregated nucleic acid to the surface, e.g., a  
2 cellulose-coated plastic or glass column or microtiter dish.

3 The method and apparatus of the present invention permits  
4 the isolation of nucleic acid having essentially any molecular  
5 weight or form (i.e., circular, linear, etc.) in a rapid and  
6 high-yield manner. The apparatus allows for such isolation  
7 from plural samples simultaneously.

8 The nucleic acid isolated as described herein may be of  
9 any molecular weight and in single-stranded or double-stranded  
10 form; i.e., small oligonucleotides such as 10 - 50 bases in  
11 length, small nucleic acid fragments of, for example, 100  
12 bases - 500 bases in length, or relatively longer fragments of  
13 1000 bases - 10,000 bases in length. Alternatively, high  
14 molecular weight nucleic acid, e.g., 50 kb-500 kb may be  
15 isolated as described herein. Preferably, a nucleic acid  
16 isolated according to the invention will be in the range of 50  
17 bases to 500 kilobases.

18 The nucleic acid sample applied to the matrix according  
19 to the methods described above may be in any convenient  
20 volume. Where large-scale isolation is contemplated, the  
21 applied volume may be correspondingly large, e.g., 1 liter,  
22 500 ml, 100 ml, 50 ml, etc. Alternatively, where other than  
23 large-scale isolation is contemplated, a correspondingly  
24 smaller volume may be applied to the matrix, e.g., less than  
25 50 ml, more preferably, less than 5 ml, less than 500  $\mu$ l;  
26 e.g., 1-100  $\mu$ l.

27 The volume of nucleic acid isolated according to the  
28 invention may be in any selected volume which is sufficient to

1 saturate the matrix. For example, for nucleic acid isolation  
2 on a large-scale, the volume of isolated nucleic acid may be  
3 correspondingly large, e.g., 1 - 100 ml, as described above  
4 for the applied volume. Alternatively, the isolated nucleic  
5 acid may be recovered in a smaller volume, e.g., less than 500  
6  $\mu$ l, 250  $\mu$ l, 100  $\mu$ l; e.g., 1-50  $\mu$ l.

7 The nucleic acid applied to the matrix, as described  
8 herein, may be any amount, that amount being determined by the  
9 amount of matrix. Preferably, the amount of nucleic acid (and  
10 plus co-precipitant, if desired) applied to the matrix is less  
11 than the dried weight of the matrix, typically in the range of  
12 1/10,000 to 1/10 (weight nucleic acid/matrix). The amount of  
13 nucleic acid applied to the matrix may be as much as 100 gm or  
14 as little as a few molecules. Preferably, the amount of  
15 nucleic acid applied to the matrix is less than a total of 100  
16 mg, more preferably in the range of 10 mg-0.1 ng, and most  
17 preferably, in the range of 500  $\mu$ g-1 ng. The nucleic acid  
18 isolated from the matrix will generally be in an amount which  
19 is about 90% or more than the amount of nucleic acid applied  
20 to the matrix.

21 The invention is particularly useful in procedures  
22 wherein large numbers of samples are handled simultaneously,  
23 for example, in newborn screening, where as many as 4-5  
24 million samples of newborn blood nationwide are analyzed  
25 annually. Molecular screening of newborns is still in its  
26 infancy and large scale screening is still difficult, mainly  
27 due to lack of a suitable nucleic acid purification method.

28 The invention provides for easy simultaneous recovery of

1 plural nucleic acid samples. In addition, because the  
2 inventive methods and devices do not allow for leaking between  
3 sample chambers, the danger of cross-contamination of samples  
4 is significantly reduced throughout the isolation procedure,  
5 i.e., before, during and after isolation of the sample from  
6 the sample matrix. These advantages are especially important  
7 for uses contemplated in the invention, i.e., where hundreds  
8 of individual samples, e.g., 100, 200-500, or thousands, e.g.,  
9 1000, 2000, 3000, 4000-6000, or even up to ten thousand or  
10 one-hundred thousand individual samples are analyzed  
11 simultaneously.

12 The invention thus provides for high yield recovery of  
13 relatively pure nucleic acid molecules from a biological  
14 sample. The nucleic acid may be recovered efficiently from a  
15 number of samples simultaneously, thus saving time and effort  
16 and providing for subsequent simultaneous processing or  
17 analysis of numerous nucleic acid samples, if desired. Any  
18 number of samples may be subjected to isolation simultaneously  
19 according to the invention, e.g., a single sample, two  
20 samples, tens of samples, 100's and even thousands of samples  
21 are conveniently isolated using the devices and methods  
22 disclosed herein. The invention thus provides for isolation  
23 of nucleic acids from hundreds or thousands of samples  
24 routinely in an efficient and safe manner. The number of  
25 simultaneously isolated samples is only limited by the number  
26 of sample chambers which are present in a single format. A  
27 format may include a single planar surface containing numerous  
28 individual sample chambers or it may include multiple

1 surfaces; the latter format would include multiple stacked  
2 surfaces or multiple side-by-side surfaces.

3 Nucleic acids may be selectively recovered from impure  
4 samples such as body fluids, cells, tissues or other types of  
5 biological samples according to the invention. Exceedingly  
6 small amounts of nucleic acid molecules may be simultaneously  
7 and quantitatively recovered according to the invention. For  
8 example, 80-90% of the small amount of DNA or RNA present in  
9 the dried blood spot samples that are routinely assayed in  
10 newborn screening (e.g., equivalent to 15  $\mu$ l newborn blood or  
11 about 0.4  $\mu$ g DNA) can be recovered. The yield of recovery is  
12 mainly dependent on the quality of the dried blood sample  
13 rather than the procedure itself. Because the invention  
14 provides a nucleic acid preparation that does not require  
15 further concentration from a large volume, the invention  
16 avoids risk of loss of the isolated nucleic acid.

17 Nucleic acids isolated according to the invention, will  
18 be useful, for example, in assays for detection of the  
19 presence of a particular nucleic acid sequence in a sample.  
20 Such assays are important in the prediction and diagnosis of  
21 disease, forensic medicine, epidemiology and public health.  
22 For example, isolated DNA may be subjected to hybridization  
23 and/or amplification to detect the presence of an infectious  
24 virus or a mutant gene in an individual, allowing  
25 determination of the probability that the individual will  
26 suffer from a disease of infectious or genetic origin. The  
27 ability to detect an infectious virus or a mutation in one  
28 sample among the hundreds or thousands of samples being



1 screened takes on substantial importance in the early  
2 diagnosis or epidemiology of an at-risk population for  
3 disease, e.g., the early detection of HIV infection, cancer or  
4 susceptibility to cancer, or in the screening of newborns for  
5 diseases, where early detection may be instrumental in  
6 diagnosis and treatment.

7 In addition, the method can also be used in basic  
8 research labs to isolate nucleic acid from cultured cells or  
9 biochemical reactions. The purified nucleic acid can be used  
10 for enzymatic modification such as restriction enzyme  
11 digestion, sequencing and amplification.

12 Further objects and advantages of the invention will be  
13 apparent in light of the following description and the claims.

#### 14 BRIEF DESCRIPTION OF THE FIGURES

15 Before describing the invention in detail, the drawings  
16 will be briefly described.

17 FIG. 1 is a diagram of an embodiment of the invention  
18 employing a sample collection tray; and

19 FIG. 2 is a diagram of another embodiment of the  
20 invention adapted for connection to a vacuum source.

#### 21 DETAILED DESCRIPTION OF THE INVENTION

22 The invention encompasses a method and apparatus for  
23 nucleic acid isolation and concentration, and takes advantage  
24 of the discovery that aggregated nucleic acid binds reversibly  
25 to a solid, hydrophilic organic matrix without an effective  
26 positive charge.

1           The invention utilizes the properties of aggregated  
2   nucleic acid to isolate and separate nucleic acid from other  
3   biochemical or cellular components such as heparin, which  
4   tends to inhibit sensitive enzymatic or chemical reactions  
5   such as PCR. Nucleic acid can be released in aqueous buffer  
6   from cells or tissues by essentially any known method, such as  
7   mechanical disruption, sonication, detergent solubilization,  
8   treatment with chaotropic agents, and the like. Once released  
9   from cells or tissues and separated from insoluble materials,  
10   nucleic acid in solution is allowed to form aggregates in the  
11   presence of precipitants.

12           According to the invention, a nucleic acid aggregate is  
13   contacted with a solid, hydrophilic organic matrix without an  
14   effective positive charge under conditions and for a time  
15   sufficient to allow it to bind reversibly to the matrix. If  
16   desired, the matrix-nucleic acid complex may be washed to  
17   remove contaminants, then dissociated and/or solubilized, and  
18   nucleic acid recovered in water or low salt buffer without  
19   heat. The method of the present invention permits the  
20   investigator or technician to isolate nucleic acid of  
21   essentially any molecular weight in a rapid, high-yield  
22   manner.

23           The nucleic acid aggregate binds to the matrix suspension  
24   as a result of contacting the sample containing the aggregated  
25   nucleic acid with the matrix suspension. The matrix  
26   suspension may be contained in a column, and thus, as the  
27   sample is passed throughout the matrix suspension, the nucleic  
28   acid becomes bound to the hydrophilic non-net-positively

1 charged surface of the matrix. The matrix suspension also may  
2 be contained in a conventional tube, dish or well, such that  
3 the sample is mixed with the matrix, rather than passed  
4 through it. Alternatively, the sample may be applied to a  
5 matrix which is attached to a surface, e.g., mesh, beads, a  
6 plate, a column, or the like. In this embodiment, the sample  
7 is passed over the matrix for the nucleic acid to bind.

8 Some examples of matrix formats useful according to the  
9 invention include passing the aggregated nucleic acid through  
10 a column containing a matrix suspension, contacting the  
11 aggregated nucleic acid with free fibers in suspension,  
12 contacting the nucleic acid with fibers that are attached to a  
13 support, whether the support be a mesh, a bead, a plate well,  
14 or the like.

15 Where the matrix is cellulose, the invention contemplates  
16 a matrix suspension of cellulose rather than a packed  
17 cellulose column, as it has been discovered that a cellulose  
18 matrix suspension is superior to a packed cellulose column in  
19 binding nucleic acid.

20 However, non-cellulose matrices according to the  
21 invention may be utilized in a packed matrix column if the  
22 efficiency of binding is not at least two-fold better in  
23 suspension than in a packed column format.

24 Once the nucleic acid binds to the matrix, digested or  
25 solubilized proteins and salts do not bind and thus are  
26 separated from nucleic acid in that they flow through the  
27 matrix. The bound nucleic acid is eluted from the matrices  
28 and recovered in a substantially pure and concentrated state,

1     suitable for direct use.

2             Solid hydrophilic organic polymers that constitute a  
3     matrix useful according to the invention fall within the  
4     definition provided hereinabove. A matrix according to the  
5     invention will include any solid, hydrophilic organic matrix  
6     without an effective positive charge that reversibly binds  
7     nucleic acid substantially by weak forces such as Van der  
8     Waals interactions and not by electrostatic interactions,  
9     affinity binding, or physical trapping. Preferably, the  
10    matrix is essentially neutral, i.e., without any positive or  
11    negative charge.

12            The term "solid matrix", as used herein, encompasses a  
13    polymer that is substantially insoluble in water and alcohol  
14    at less than about 50 degrees centigrade. Preferably, a solid  
15    matrix is in particulate form, with the particles being in the  
16    micro-meter range (preferably, 5-500  $\mu$ meters) or the milli-  
17    meter range (preferably, 0.1-10 millimeters); or is in fibrous  
18    form with the fibers being micro-meter in diameter and of any  
19    desired length.

20            The term "polymer" includes matrices made from repeating  
21    units of two or more monomer repeats. As used herein,  
22    "polymer" also includes homopolymers and heteropolymers, a  
23    "homopolymer" being defined as a polymer consisting  
24    essentially of repeating units of identical monomers, and a  
25    "heteropolymer" being defined as a polymer consisting  
26    essentially of two or more monomers which are not identical,  
27    the monomers being repeated in a given order or randomly. A  
28    "mixed polymer" is defined herein as including two or more

1 homopolymers or heteropolymers, or a combination of a  
2 homopolymer and a heteropolymer.

3 Exemplary monomer materials include acrylonitrile,  
4 acrylene, caprolactam, chloroprene, dichloroethene, ethylene,  
5 isoprene, propylene, tetrafluoroethene, vinyl chloride,  
6 vinylidene fluoride, acrylamide, amino acids, diisocyanate,  
7 divinylbenzene, ethylene glycol, formaldehyde, glycol, methyl  
8 methacrylate, styrene, sugars, terephthalic acid. Additional  
9 exemplary polymer materials include but are not limited to  
10 polysaccharides including cellulose, rayon, cellulose acetate,  
11 cellulose triacetate, chitin and agarose; protein/polypeptides  
12 including leather, silk and wool; synthetic gels including  
13 polyacrylamide, hydrogel (i.e., copolymer of poly(vinyl  
14 alcohol) and collagen); synthetic fibers including polyamides  
15 (nylon), polyesters, polyacrylonitrile (acrylic), polyurethane  
16 (spandex); and synthetic plastics including polycarbonate,  
17 phenol-formaldehyde resins, polysulfide, poly(vinyl butyryl),  
18 poly(vinyl chloride), poly(vinylidene chloride),  
19 poly(ethylene), and polystyrene.

20 The present invention does not utilize ion-exchange  
21 procedures, affinity binding, density gradients,  
22 aqueous/organic phase separation, or physical trapping to  
23 separate the nucleic acids from other cellular or tissue  
24 components. Thus, subsequent removal of large amounts of  
25 salt, as is present in samples having been prepared by such  
26 methods, or further purification of nucleic acids, as is  
27 necessary for samples having been prepared using gradients, is  
28 not necessary. Moreover, the method of the invention is fast

1 and the yield of recovery for large sizes of nucleic acids is  
2 superior to other methods of the prior art.

3 The invention features methods and devices for the  
4 efficient and quantitative recovery of relatively small  
5 amounts of nucleic acid from single or plural samples by  
6 binding to a matrix having the characteristics described  
7 herein.

8 The nucleic acid to be isolated can be present in any  
9 type of biological sample, and will generally be a sample of  
10 medical, veterinary, forensic, environmental, nutritional,  
11 scientific or industrial significance. Human and animal  
12 specimens and body fluids particularly can be assayed by the  
13 present method, providing that they contain cells, or  
14 particles, e.g., virions, from which nucleic acid can be  
15 prepared. Preferred sources include blood, sperm, any  
16 mammalian tissue, milk, urine, cerebrospinal fluid, sputum,  
17 fecal matter, and lung aspirates, all of which may have been  
18 collected as is or previously adsorbed onto a fluid collection  
19 device such as a swab; in addition, buccal cells, throat  
20 swabs, genital swabs and exudates, rectal swabs, and  
21 nasopharyngeal aspirates.

22 The invention allows for simultaneous recovery of  
23 exceedingly small amounts of nucleic acid from, e.g., hundreds  
24 of a type of sample in a quantitative manner. Typically 70-  
25 100%, and more likely at least 80%, 90% or most likely at  
26 least 95%, of the nucleic acid that is present in a biological  
27 sample may be recovered according to the invention, even when  
28 the sample contains such small amounts as less than 1 ng of

1 nucleic acid. For example, as much as 0.3-0.4 µg of genomic  
2 DNA may be recovered from a 15 µl dried blood spot according  
3 to the invention. Because the invention allows for recovery  
4 of the isolated nucleic acid into a relatively small volume of  
5 liquid, e.g., smaller than 500 µl, 250 µl, 100 µl, and even as  
6 small as 5-10 µl, the invention avoids the risk of loss of the  
7 recovered nucleic acid encountered in concentrating a sample  
8 from a relatively large volume.

9 The nucleic acid may be isolated or concentrated  
10 according to the invention from an impure, partially pure, or  
11 a pure sample. The purity of the original sample is not  
12 critical to the inventive methods, as nucleic acid may be  
13 isolated from even grossly impure samples according to the  
14 invention. For example, nucleic acid may be removed from an  
15 impure sample of a biological fluid such as blood, saliva, or  
16 tissue. If a sample of higher purity is desired, the sample  
17 may be treated according to any conventional means known to  
18 those of skill in the art prior to undergoing isolation  
19 according to the invention, e.g., the sample may be processed  
20 so as to remove certain impurities such as insoluble materials  
21 from an impure sample prior to nucleic acid isolation.

22 Methods of the invention may be performed on a biological  
23 sample which has been deposited on any type of material,  
24 provided the material itself does not form cross-linkages to  
25 retain the nucleic acid irreversibly. Thus, the sample may be  
26 contained within a material such as paper, textile, e.g., a  
27 fragment of an article of clothing, agarose, or  
28 polyacrylamide. One example of a sample and sample matrix

1 according to the invention is a drop of a body fluid, e.g.,  
2 blood, which has been stored dry on a piece of Schleicher and  
3 Schuell #903 paper, the paper routinely used nationwide for  
4 the purpose of newborn screening.

5 For purposes of the following description of the  
6 invention, recovery of nucleic acid in the form of genomic  
7 DNA, plasmid DNA, or single-stranded DNA or RNA is described  
8 in detail herein. However, it is to be understood that the  
9 invention encompasses recovery of any form, whether  
10 fragmented, circular, single stranded (RNA and some  
11 bacteriophage and virus DNAs and RNAs) or chromosomal DNA.

12 The method of the invention is applied to isolation of  
13 nucleic acid from a biological sample, as follows.

14 Tissues or cells that contain nucleic acids are suspended  
15 in an extraction solution that contains a buffer system, a  
16 detergent, and a chelating agent. The buffer system can be  
17 any buffer, e.g., TrisHCl, sufficient to maintain pH values  
18 from approximately 5.0 to approximately 10. The detergent can  
19 be ionic or nonionic detergent, such as sodium dodecyl sulfate  
20 (SDS) or octylglucoside, at a concentration sufficient to lyse  
21 cells and denature proteins. A chelating agent, such as EDTA,  
22 captures free divalent ions ( $Mg^{2+}$ ) so that nucleic acids are  
23 more soluble and protected from degradation by DNA-degrading  
24 enzymes that require  $Mg^{2+}$ .

25 A protease may also be added to the extraction mixture to  
26 digest proteins so that the nucleic acids can be easily  
27 released from the cells and so that the proteins are degraded  
28 to small peptides and become more soluble in solution. Any



1 non-specific or specific protease may be used, for example,  
2 proteinase K, trypsin, chymotrypsin, or V8 protease.

3 Nucleic acid aggregates are next formed by precipitating  
4 the nucleic acid. Structurally, nucleic acid possesses a  
5 phosphodiester backbone that is negatively charged around  
6 neutral pH. Nucleic acid becomes insoluble (i.e.,  
7 precipitated) in the presence of salts and agents that can  
8 reorganize its aqueous environment. Acetone, alcohols such as  
9 ethanol and isopropanol, and soluble organic polymers, such as  
10 polyethylene glycol (PEG) are examples of useful precipitants.  
11 Co-precipitants, such as glycogen, also may be used to  
12 facilitate the precipitation of nucleic acid present in only  
13 small quantities; for example, in the form of  
14 heteroaggregates. The presence of a co-precipitant is not  
15 required according to the invention, but serves to increase  
16 the efficiency of aggregate formation. In the presence of  
17 monovalent salt, the charges in nucleic acids are neutralized  
18 so that nucleic acid aggregates are formed and stabilized by  
19 weak forces such as Van der Waals forces. Divalent salts such  
20 as magnesium chloride or calcium chloride can also be used to  
21 precipitate nucleic acids.

22 As indicated above, the matrix may be any matrix that  
23 reversibly binds nucleic acid. In preferred embodiments  
24 described in detail herein, cellulose, agarose powder, and  
25 polyacrylamide are used as matrices. Where the matrix is  
26 fibrous, the fibers may be mechanically broken to 1 to 2 mm  
27 fibers. The matrix is washed to remove salts and other  
28 contaminants. The matrix can either be stored dry or in an

1     aqueous solution at room temperature in a concentration  
2     convenient for use. The matrix is in suspension and may be  
3     used in a column format, for example, in a pipet tip, syringe,  
4     or larger column containing a matrix suspension.

5             Alternatively, the matrix may be coated onto a well or an  
6     inorganic microparticle 3-4 microns, such as a magnetic or  
7     silicon bead, such that the nucleic acid binds to the matrix  
8     coating on the particle. This embodiment is advantageous over  
9     the isolation of nucleic acid via physical entrapment by  
10    uncoated microparticles, as the purity and yield of the  
11    nucleic acid is better.

12            Once the matrix is prepared, the matrix is added to the  
13    suspension containing the nucleic acid before or after  
14    aggregation, or the nucleic acid aggregate suspension is  
15    allowed to bind to the matrix in the column. Unbound  
16    materials, such as digested proteins, lipids, and other  
17    unwanted cellular components are then separated from the bound  
18    nucleic acid aggregates by retaining the nucleic acid/matrix  
19    complexes in a column, filter, tube, or plate well. In one  
20    embodiment, when the matrix is in a column format, the bound,  
21    aggregated nucleic acids may be purified by washing the column  
22    with a buffer to wash away the undesirable materials. Once  
23    these materials are removed, the aggregated nucleic acid may  
24    be recovered by eluting them from the matrix material after  
25    dissolving the aggregates in water or low salt buffer. The  
26    recovered nucleic acid is substantially pure, concentrated,  
27    and suitable for immediate use in subsequent experiments.

28            The invention is better illustrated with respect to the

1 following examples. These examples are meant to be  
2 illustrative of carrying out the invention, and not to be  
3 limiting with respect to the spirit and scope of the invention  
4 and the claims.

5 EXAMPLE 1

6 Preparation of Cellulose Matrix and Experimental Conditions.

7 Materials and reagents used in this Example and in  
8 general (unless otherwise specified) are as follows:

- 9 1. TE buffer (10 mM TrisHCl, 1 mM EDTA, pH 8).
- 10 2. Matrix solution: 50 mM TrisHCl, pH 8.0, 10 mM EDTA.
- 11 3. Extraction buffer: 1% SDS, 50 mM TrisHCl pH 8, 10 mM  
12 EDTA, 150 mM NaCl, 20 ug glycogen/ml, 50 ug/ml proteinase k.
- 13 4. Washing solution; 60% ethanol in buffer which  
14 contains 200 mM NaCl, 50 mM TrisHCl, 5 mM EDTA.
- 15 5. Elution buffer: 5 mM TrisHCl pH 9, 0.5 mM EDTA.
- 16 6. 40% PEG in water.
- 17 7. 20% PEG in 2.5 M NaCl.
- 18 8. 5 M NaCl.
- 19 9. GEDTA: 120 g of GuSCN in 100 ml of 0.2 M EDTA at pH  
20 8.
- 21 10. Isopropanol (precipitant).
- 22 11. Co-precipitant: glycogen (5 ug/ul).
- 23 12. TBE buffer: 45 mM Tris-Boric acid and 1 mM EDTA.
- 24 13. Whatman cellulose: 3MM paper is cut and dissociated  
25 into fibers with an average length of 1-2 mm (length is not  
26 critical). This is the matrix used in the following  
27 experiments unless otherwise specified.

1           14. Sigma cellulose : Fibrous medium (C6288=CF-11), washed  
2 to remove small particles and resuspended in TE buffer (10 mM  
3 TrisHCl, 1 mM EDTA, pH 8) at 10 mg/ml.

4           15. Control DNA: Sigma, Human placenta DNA (D7011),  
5 dissolved in TE buffer at 0.26 ug/ul.

6           16. Whole blood: anticoagulated in EDTA. Aliquotted for  
7 use as 250-ul-samples and stored at -70°C.

8           17. Matrix collection device: AP-200 (P-1000) tip with a  
9 barrier made up of a folded Kimwipe paper (1/4" disk for P-  
10 200, 10x10 mm for P-1000 tip). Such a device is not able to  
11 retain nucleic acid under conditions to be described.

12           18. All experiments are carried out at room temperature  
13 unless otherwise specified.

14           19. Centrifugation is performed in a standard Eppendorf-  
15 type microcentrifuge.

16           Matrix is prepared by the following method unless  
17 otherwise specified. Filter paper consisting essentially of  
18 cellulose (0.25 g of Whatman 3 MM paper) is cut into  $< 4 \text{ mm}^2$   
19 pieces and suspended in about 10 ml of matrix solution,  
20 followed by vigorous vortexing until the paper becomes  
21 fibrous. The suspension is filtered through a membrane with  
22 0.45 micron or larger pores to remove liquid and the fibers  
23 are recovered from the filter. The wet fibers are then  
24 subjected to the procedure of cutting-vortexing-filtration  
25 twice so that all cellulose fibers are dissociated. The  
26 fibrous matrix thus formed is stored in either 50 ml matrix  
27 solution or 50 ml washing solution at room temperature (5  
28 mg/ml). When stored in this manner, the fiber suspension is

1 free to pass through a pipet with 2 mm opening. The volume  
2 that the 0.25g matrix occupies after settling is equivalent to  
3 10 ml-12.5 ml.

4 Matrix-collection device: A cylinder or conical-shaped  
5 container that is open at both ends to liquid movement and fit  
6 with a barrier on one end to prevent solid materials from  
7 passing through.

8

9

#### EXAMPLE 2

#### Isolating DNA from Dried Blood Spots on Filter Paper 11 Using Cellulose Matrix.

12 Solutions and reagents are the same as those used in  
13 Example 1 unless otherwise specified. In addition, 1 M  $MgCl_2$   
14 and chelating resin are used. Matrix suspensions are prepared  
15 as in Example 1 unless otherwise specified.

16 4 full circles (15 mm diameter) of dried blood spots are  
17 removed from S&S 903 filter papers. Two of them are immersed  
18 in 5 ml of extraction buffer (Sample 1) and the other two are  
19 placed in 5 ml of the same buffer plus 0.1 g chelating resin  
20 (Sample 2). The samples are incubated at 56°C for about 2  
21 hours to digest proteins by proteinase K.

22 a. Phenol-extraction and ethanol precipitation (control  
23 method):

24 1. 500  $\mu$ l of Sample 1 (designated 1-0) and 500  $\mu$ l of  
25 Sample 2 (designated 2-0) are extracted with 500  $\mu$ l of  
26 phenol:chloroform twice.

27 2. Glycogen is added to 20  $\mu$ g/ml and NaCl is added to

1     0.1 M.

2             3.    1 ml ethanol is mixed with the extracted samples to  
3   precipitate nucleic acids at -20°C for 2 hours.

4             4.    Precipitated nucleic acids are collected by  
5   centrifugation for 15 min at 12 k rpm and finally dissolved in  
6   50 µl of water.

7             B.    Matrix method (method of the invention):

8             1.    4 aliquots of 500 µl (1-1 to 1-4) are retrieved from  
9   Sample 1, and the same for Sample 2 (2-1 to 2-4). NaCl was  
10   added to 0.1 M in each.

11            2.    The samples are mixed with co-precipitants: glycogen  
12   (10 µg in 1-1, 1-2, 2-1, 2-2) and Mg<sup>2+</sup> (final concentration 20  
13   mM in 1-1, 1-3, 2-1 and 2-3).

14            3.    Isopropanol (650 µl) is mixed with each sample and  
15   the mixtures are incubated at room temperature for 20 min to  
16   precipitate nucleic acids.

17            4.    Precipitated nucleic acids are loaded onto pre-  
18   equilibrated matrix (5 mg matrix each) connected to a vacuum  
19   manifold unit.

20            5.    The matrix are washed twice with 1 ml washing  
21   solution under vacuum and dried by centrifugation at 5 k rpm  
22   for 1 min.

23            6.    50 µl of elution buffer is added to each dried  
24   matrix to dissolve nucleic acids at room temperature for 5  
25   min. The nucleic acids in a sample are recovered into a 1.5  
26   ml tube by centrifuging the tubes at 7 k rpm for 2 min.

27            5 µl of each control sample (1-0 and 2-0) and the samples  
28   purified by columns are separated in 1% agarose gel containing

1 0.5 µg/ml of ethidium bromid by a standard method.

2        The same amount of nucleic acid (mainly DNA) is recovered  
3        by the matrix method as by the control method, based on gel  
4        electrophoresis analysis. Samples treated with chelating  
5        resins contained DNAs of relatively large molecular weights.

6 DNA isolated from filter paper by the nucleic acid  
7 isolation method described herein is consistently found to be  
8 compatible with DNA modifying enzymes. The DNA thus-isolated  
9 is also digestible by restriction enzymes such as ScrF1, and  
10 can be used for polymerase chain reaction (PCR).

Thus, high yield isolation of nucleic acid from small quantities of clinical samples may easily be achieved using the method of the invention. Because high speed centrifugation ( $>10,000$  rpm) is not required, the method can be easily automated. The total isolation time for solubilized nucleic acids may be shorter than 30 min.

### EXAMPLE 3

### Isolation of Plasmid DNA Using Cellulose Matrix.

19 Reagents, matrix and columns are the same as in Example 1  
20 unless otherwise specified. In addition, a plasmid isolation  
21 kit (Wizard Kit) from Promega was used for comparison. LB  
22 media used in this example contained 1% tryptone, 0.5% yeast  
23 extract and 1% NaCl in water.

24 Source of double-stranded plasmid DNA: E. coli cells  
25 harboring pBluescript plasmid (Stratagene) are grown overnight  
26 in LB media containing ampicillin (100 µg/ml).

27 Source of single-stranded plasmid DNA: E. coli cells  
28 harboring pBluescript plasmid (Stratagene) are infected by

1 M13K07 helper phages (NEB) to generate single-stranded plasmid  
2 DNA. The cells are grown overnight at 37°C in LB media  
3 containing ampicillin (100 µg/ml) and kanamycin (70 µg/ml).

4 To purify double-stranded plasmid DNA, the following  
5 steps are performed:

6 1. An overnight culture is split into 6 aliquots of 1-  
7 ml samples (a-1 to a-6).

8 2. Crude plasmid DNA is obtained by alkaline lysis  
9 method with reagents in the Wizard plasmid isolation kit,  
10 following instruction from the manufacturer.

11 3. DNAs in samples a-1 to a-3 are further purified with  
12 silica powder provided in the same Wizard kit. Each DNA  
13 sample is finally recovered in 50 µl of water.

14 4. Other crude DNA samples (a-4 to a-6) are mixed with  
15 750 µl of isopropanol and incubated for 20 min at room  
16 temperature.

17 5. Precipitated DNAs are mixed with 5 mg matrix and  
18 prepared as described in Example 1.

19 6. Washing and DNA recovering are the same as described  
20 in Example 1 and 2. DNA in each column is eluted in 50 µl of  
21 elution buffer.

22 To purify single stranded plasmid DNA, the following  
23 steps are performed:

24 1. 8 clear supernatants of 1 ml (B-1 to B-8) are  
25 recovered from a helper phage-infected culture after removal  
26 of cells by centrifugation.

27 2. 250 µl of PEG solution (20% polyethylene glycol-8000  
28 plus 2.5 M NaCl) is mixed with each of the 8 samples. The



1 solutions are kept at room temperature for 15 min.

2 3. Precipitated phage particles are harvested by  
3 centrifugation at 10k rpm for 5 min at room temperature.

4 4. After complete removal of the liquid, 500 µl of  
5 extraction buffer is added to each pellet and incubated at  
6 56°C for 40 min to release DNA. NaCl is then added to 0.2 M.

7 5. 4 samples (B-1 to B-4) are subjected to  
8 phenol:chloroform extraction twice followed by ethanol  
9 precipitation as described in Example 2. The DNA pellets are  
10 dissolved in 50 µl of TE buffer.

11 6. The other 4 samples (B-5 to B-8) are mixed with 10  
12 µg glycogen and 625 µl of isopropanol, followed by  
13 purification with matrix as described in Example 1. Each DNA  
14 sample for recovery is dissolved in 50 µl of elution buffer.

15 5 µl of each purified DNA is separated in a 1% agarose  
16 gel containing 0.5 µg/ml ethidium bromide for analysis.

17 The results demonstrate that similar amounts of double-  
18 stranded plasmid DNAs are isolated with cellulose matrix as  
19 with a silica matrix. Single-stranded plasmid DNAs are also  
20 isolated, although the amount of DNA isolated by the cellulose  
21 matrix method is slightly less than that isolated after phenol  
22 extraction and ethanol precipitation. DNAs isolated by the  
23 cellulose matrix method are sequenced as efficiently as DNAs  
24 isolated by phenol extraction and ethanol precipitation  
25 method. Plasmid isolation by this method of the invention  
26 eliminates the requirement for chaotropic agents and minimizes  
27 the use of high speed centrifugation.

### EXAMPLE 4

### RNA Isolation Using Cellulose Matrix.

Solutions and reagents are the same as in Example 1 unless otherwise specified.

RNA may be isolated by the following steps:

1. 1 g of fresh and soft plant leaves is ground in 5 ml of TRIzol (Life Technologies) to release nucleic acid.

2. The homogenate is separated by centrifugation and two clear supernatants of 1 ml each are collected. Each of the supernatants is mixed with 600  $\mu$ l of chloroform. 750  $\mu$ l of the aqueous solution is recovered from each tube after centrifugation and is placed in a clean tube.

3. 750  $\mu$ l of isopropanol is mixed with each solution and the resulting solutions are kept at room temperature for 20 min to precipitate the nucleic acids.

4. Nucleic acid in one tube (a) is harvested by centrifugation (12,000 rpm) for 15 min at room temperature. The pellet is dissolved in 75  $\mu$ l of water after removal of liquid and drying the pellet. 25  $\mu$ l of the sample is diluted 1:1 with 25  $\mu$ l of water and the resulting solution is designated as A1 and the remaining 50  $\mu$ l A2.

5. Nucleic acid precipitated by isopropanol in the other tube (B) is divided to 500  $\mu$ l (B1) and 1000  $\mu$ l (B2). Nucleic acid in the two solutions are subjected to matrix purification as described in Example 1 and 2. The nucleic acid from each sample is dissolved for recovery in 50  $\mu$ l water.

6. 5  $\mu$ l of each sample (A1, A2, B1, B2) may be

1 subjected to agarose gel electrophoresis (1.2%, 0.5 µg/ml  
2 ethidium bromide).

3 7. 400 µl water is added to each sample and the  
4 resulting solutions are examined by a UV spectrophotometer.

5 RNAs of small (tRNA) and large (rRNA) sizes are isolated  
6 with the cellulose matrix, and DNA of very high molecular  
7 weights is also present. The band patterns of the RNAs  
8 obtained by the two methods are identical. Sample A2  
9 contained the largest amount (114 µg) of nucleic acids (mainly  
10 RNA) and sample A1 contains approximately half (62 µg) as much  
11 as A2. Sample B1 has nearly the same amount (53 µg) of  
12 nucleic acids as A1. Sample B2 recovers approximately 81 µg.

13 In this example, A1 and A2 are prepared by the complete  
14 TRIzol method, and B1 and B2 by a modified method in which  
15 the cellulose matrix method is used to replace high speed  
16 centrifugation. Thus, the quality of the RNAs are expected to  
17 be as good as that isolated by the complete TRIzol method.  
18 The column procedure may be more reliable for isolating small  
19 quantities of nucleic acids (<20 µg) because pellet formation  
20 is not required.

#### 21 EXAMPLE 5

#### 22 Demonstration of Cellulose for DNA Isolation and Concentration 23 From Liquid Whole Blood Samples Using the Suspension Format.

##### 24 SETUP

25	Samples	1	2	3	4	5	6	7	8
26	Buffer								
27	Extraction buffer:	+	+	+	+	-	-	-	-

1	GEDTA:	-	-	-	-	+	+	+	+
2	Matrix								
3	Whatman cellulose:	-	-	+	+	-	-	+	+

4 Procedure A: (Using SDS/proteinase-K-containing Extraction  
5 buffer for nucleic acid solubilization)

6 1. Add 250 ul Extraction buffer and 12.5 ug proteinase K  
7 to each 250 ul whole blood sample, total 4 samples (#1-#4).

8 2. The samples are incubated at 56°C for 1.5 hours.

9 3. Add 250 ul of 40% PEG and 12.5 ul of 5 M NaCl to each  
10 sample; mix the samples for about 5 min.

11 4. Centrifuge the samples for 2 min at 2 k rpm and  
12 recover the supernatant for each sample.

13 5. Add 5 mg Whatman cellulose to Samples 3 and 4 and add  
14 250 ul 5 M NaCl to all the 4 samples; mix the samples for  
15 about 5 min.

16 6. Centrifuge the samples for 2 min at 2 k rpm; save  
17 pellets 1,2,3,4 and recover each supernatant; designate  
18 supernatants as 1',2',3',4' respectively.

19 7. Centrifuge samples 1'-4' for about 5 min at 14 k rpm  
20 to collect any precipitant; discard supernatant.

21 8. Add 1 ml washing solution to each of the samples #1,#2  
22 and 1'-4'; after a gentle mixing, centrifuge the 6 samples  
23 together as Step 7; the pellets are air-dried and each sample  
24 is dissolved in 100 ul of elution buffer.

25 9. Cellulose fibers in samples 3 and 4, which look  
26 reddish, are collected in independent matrix-collection  
27 devices, washed twice with total 2 ml washing solution.

1           10. The collected cellulose is dried by spinning at 5 k  
2 rpm for 2 min and DNA of each sample is eluted twice with a  
3 total of 50 ul elution buffer. The resulted colorless DNA  
4 solutions are finally adjusted to 100 ul for each.

5           11. 10 ul of each sample is analyzed in a 1% agarose gel.

6           Procedure B: (Using chaotrope-containing solution for nucleic  
7 acid solubilization)

8           1. Add 250 ul GEDTA to each 250 ul whole blood, total 4  
9 samples (#5-#8); mix the samples for 5 min.

10           2. Add 250 ul of isopropanol to each sample and 5 mg  
11 Whatman cellulose to #7 and #8, mix the samples for 5 min.

12           3. Centrifuge the samples for 2 min at 2 k rpm; save the  
13 pellets (5-8) and recover the supernatant (5'-8').

14           4. Centrifuge all the supernatants at 14 k rpm for 5 min;  
15 air-dry the pellets and dissolve each pellet in 100 ul of  
16 elution buffer.

17           6. Add 250 ul of water and 250 ul of GEDTA to cellulose  
18 in #7 and #8, which look red; after mixing for 2-3 min, add  
19 250 ul of isopropanol to each and mix again for another 2-3  
20 min.

21           7. The cellulose fibers in sample #7 and #8 are collected  
22 by a matrix collection device separately.

23           8. The fibers in a matrix collection device are washed  
24 twice with total 2 ml washing solution; the fibers are then  
25 dried by spinning at 5 k rpm for 2 min.

26           9. DNA associated with the cellulose fibers is eluted  
27 twice in a total of 50 ul elution buffer. Each colorless DNA

1 solution is finally adjusted to 100 ul.

2 10. 10 ul of each sample is analyzed in a 1% agarose gel.

3 The results were as follows.

4 1. For samples that do not contact the cellulose, the  
5 majority of the DNA remains in the first supernatant (1 and 2  
6 vs. 1' and 2'; 5 and 6 vs. 5' and 6').

7 2. For samples that contact the cellulose, a significant  
8 amount of DNA is in the pellet fraction after low speed  
9 spinning (3,4,7,8), indicating that the precipitated nucleic  
10 acid is associated with cellulose in suspension. We attribute  
11 the nucleic acid observed in the supernatant fraction  
12 (3',4',7',8') to fiber-DNA complexes that are carried over.

13 3. Procedure B is simpler than Procedure A. But GEDTA is  
14 a more hazardous solution. A260nm/A280nm is about 1.8 for  
15 sample #7 and #8.

16 4. Less total nucleic acid is recovered with Procedure A,  
17 likely to be due to incomplete cell lysis under the described  
18 conditions. Higher yields are observed when increased SDS  
19 concentration is used for more diluted samples.

20 The conclusions were as follows.

21 1. Re-extracting the nucleic acid is a necessary step for  
22 removal of pigmented and other contaminants.

23 2. Suspension format is a convenient way for the re-  
24 extraction step.

25 3. Nucleic acids bind to cellulose efficiently in  
26 suspension.

4. Nucl ic acid can be isolated in a concentrated form:  
nucleic acid in >0.25 ml of blood can be concentrated to a  
final volume of <50 ul.

4           5. The isolated DNA is pure: eluted DNA is colorless with  
5    little protein contamination.

6 EXAMPLE 6

7 DNA isolation Using Agarose Matrix.

8           Solutions and reagents were the same as in Example 1  
9           unless other specified. Dry agarose powder (FMC, Type LE) is  
10          suspended in water at room temperature at a concentration of  
11          approximately 5 mg/ml. Matrix is washed with water and matrix  
12          solution before they are used for DNA isolation.

13 DNA sample preparation (1.75  $\mu$ g of Salmon Testes DNA for  
14 each sample) and isolation procedures are the same as  
15 described in Example 1 and 2. Isolated DNAs are analyzed by  
16 agarose gel and optical density measured. About 92% of DNA  
17 can be recovered by the agarose matrix, compared to those  
18 recovered by ethanol precipitation when the same amounts of  
19 DNA are used.

20 . EXAMPLE 7

21 DNA Isolation Using Synthetic Fiber Matrix.

Solutions and reagents are the same as in Example 1 unless otherwise specified. Synthetic cotton from a cosmetic puffball (purchased from a local department store) is cut to short fragments (1-2 mm).

26            Sample preparation, DNA isolation and analysis are the

1 same as described in Example 8. About 50% of DNA is recovered  
2 with this matrix, compared to those recovered by ethanol  
3 precipitation when the same amounts of DNA were used. This  
4 relative low yield of recovery is expected due to the lower  
5 hydrophobicity of this matrix compared to a cellulose matrix.

#### 6 EXAMPLE 8

##### 7 DNA Isolation Using Polyacrylamide Matrix.

8 Solutions and reagents are the same as in Example 1  
9 unless otherwise specified. 7.5 ml of 30%  
10 acrylamide/bisacrylamide solution and 7.5 ml of water were  
11 mixed. a 15% polyacrylamide gel was formed and is broken into  
12 fine particles (0.5-1.5 mm) mechanically. The suspension is  
13 washed with water extensively until soluble materials and  
14 unpolymerized acrylamide are removed.

15 Sample preparation and subsequent purification procedures  
16 are essentially the same as described in Example 2. DNA is  
17 recovered in basically the same yield by the polyacrylamide  
18 matrix as by cellulose matrix.

19 A summary of recovery of nucleic acids, relative to a  
20 100% recovery of nucleic acid using the phenol or silica  
21 isolation methods described hereinabove, is provided in Table  
22 I.

23 In Table I, the following key is used. Phenol refers to  
24 phenol/chloroform extraction followed by ethanol  
25 precipitation; Silica refers to a silica glass powder matrix  
26 for DNA purification; CF refers to a cellulose fiber matrix  
27 for DNA purification; Agarose refers to agarose powder matrix



1 for DNA purification; Synthetic refers to a synthetic cotton  
 2 fiber matrix for DNA purification; PAG refers to a  
 3 polyacrylamide gel suspension matrix for DNA purification.

4 In Table 1, the DNA referred to is as follows. Lambda:  
 5 lambda DNA; Salmon: salmon testes DNA; DBS: dried blood spot  
 6 DNA; DSP: double stranded plasmid DNA; SSP: single stranded  
 7 plasmid DNA; Plant: plant RNA. In addition, each number  
 8 refers to percentage of recovery, control is 100%; NA refers  
 9 to not applicable, or not available; + refers to the same or  
 10 nearly the same as controls.

1 TABLE I

2 -----

3 <u>Method</u>	4 <u>Form or source of nucleic acids</u>					
	5 <u>Lambda</u>	6 <u>Salmon</u>	7 <u>DBS</u>	8 <u>DSP</u>	9 <u>SSP</u>	
10 <u>Plant</u>						
11 Phenol	100	100	100	NA	100	
12 100						
13 Silica	NA	NA	NA	100	NA	NA
14 CF	100	99	+	+	70-80	+
15 Agarose	NA	92	NA	NA	NA	NA
16 Synthetic	NA	50	NA	NA	NA	NA
17 PAG	NA	NA	+	NA	NA	NA

18 -----

14

15 EXAMPLE 9

16 DNA Isolation Using Cellulose Coated Particles.

17 Solutions and reagents are the same as in Example 1  
 18 unless otherwise specified. The nucleic acid is first

1 solubilized and then aggregated by precipitation. Cellulose-  
2 coated particles, e.g., magnetic beads, are added to the  
3 aggregating buffer containing aggregated nucleic acid and the  
4 aggregated nucleic acid is allowed to contact the cellulose  
5 fibers on the beads for 2 or 3 minutes. The cellulose-coated  
6 particles and associated nucleic acids are removed from the  
7 solution by means commonly known in the art; in the case of  
8 magnetic beads, a magnetic field is applied to draw the  
9 nucleic acid away from the solution, which is then removed.  
10 The magnetic field is then released. A wash solution is  
11 applied and a magnetic field is applied. The magnetic field  
12 is then released again. Elution of DNA from the beads is  
13 accomplished by adding an aqueous buffer, applying the  
14 magnetic field, and then removing supernatant-containing DNA.

15

#### 16 Apparatuses of the Invention

17 As illustrated in Fig. 1, an apparatus of the invention  
18 will include plural housings 100, 100' and a planar surface  
19 support 104 for convenient simultaneous handling of the plural  
20 housings. Each housing 100, 100' possesses an inlet 101, 101'  
21 and an outlet 103, 103', and defines a flowpath 105, 105' for  
22 flow of liquid therebetween. Housings 100, 100' contain  
23 matrix 102, 102', as defined and described herein. The plural  
24 housings and surface support 104 may be an integral unit, or  
25 the housings may be separate from and adapted to fit into the  
26 support 104. The housings may also include barrier means 106,  
27 106' near the outlet end 103, 103', which barrier means serves  
28 to prevent matrix from exiting the outlet of the housing.

1           Th support 104 may be a plate or tray containing holes  
2   into which the housings fit, or it may be a simple wire or  
3   plastic rack. The apparatus may optionally include a  
4   collection surface 107 which is positioned beneath the support  
5   surface 104. The collection surface is also a planar surface  
6   which includes sample collection sites 108, 108'. Sites 108,  
7   108' may be simple indentations on the surface of a plastic  
8   plate or they may be cups or tubes, e.g., microfuge tubes,  
9   which fit into the plate. Collections sites 108, 108' may be  
10   water insoluble, such as plastic, for collection of liquid  
11   flowthrough from the housing, or they may be absorbent pieces  
12   of filter paper for absorbing flowthrough. Sites 108, 108'  
13   are for collecting liquid that flows through the housing,  
14   whether it be matrix washings of unwanted material or eluted  
15   nucleic acid. During operation, the apparatus may include two  
16   collection surfaces of the format of surface 107, a first  
17   collection surface 106 for collection of unwanted materials  
18   which flow through the housings, and the second collection  
19   surface 107 for collection of eluted nucleic acid. The  
20   collection surface 107 may lie beneath surface 104; however,  
21   optimally, surface 106 will fit snugly within the edges of  
22   surface 104. In the embodiment of the invention shown in Fig.  
23   1, flow through may be collected using gravity flow or by  
24   centrifugation of the entire apparatus, or by pressure applied  
25   from top of the housing.

26           Alternatively, as shown in Fig. 2, the apparatus may  
27   include dish 109, which may include means for connecting 110  
28   the apparatus to a vacuum source to assist in washing the

1 matrix. a vacuum source may be connected to the vacuum  
2 connecting means 110 and a vacuum applied to suck excess  
3 solutions from the column. Vacuum connecting means 110 may  
4 include a connector such as a compression fitting, ferrule,  
5 coupling, or other structure known in the art capable of  
6 accepting and holding a vacuum. Although gravity flow may be  
7 used to pull liquid through the housing, use of a vacuum unit  
8 expedites the method of the invention.

9 The apparatus shown in Fig. 2 also may be combined with  
10 the apparatus shown in Fig. 1. That is, surface support 104,  
11 containing plural housings 100, 100', and collection tray 107  
12 may be used along with dish 109 such that the collection tray  
13 107 fits snugly within dish 109. When vacuum is applied to  
14 the apparatus via connecting means 110, the vacuum pulls  
15 liquid through the housing, matrix, outlet, and onto the  
16 collection sites 108, 108'.

17 In operation, plural biological samples in liquid form  
18 are applied to the inlet 101 of the plural housings, whereupon  
19 each sample flows along flow path 105 into and through the  
20 matrix 102. Contact between nucleic acid in the sample and  
21 the matrix results in binding of nucleic acid to the matrix.  
22 Nucleic acid is thus retained, while unwanted components of  
23 the biological sample flow through the matrix and screen 106,  
24 and exit via outlet 103. The matrix may be washed prior to,  
25 during, or after nucleic acid binding, if desired.

26 After binding, which may take no longer than a few  
27 minutes, or the time interval in which the liquid sample flows  
28 through the matrix, bound nucleic acid is eluted from plural

1 matrices simultaneously by dispensing lution buffer into the  
2 plural housings and fitting the support plate 104 over the  
3 sample collection tray 107. Centrifugation and gravity may be  
4 used to pull the elution buffer through the matrix (Fig. 1).  
5 Alternatively, a vacuum source may be connected to dish 109  
6 and support plate 104 may be placed over dish 109 to expedite  
7 flowthrough (Fig. 2). If desired, support plate 104,  
8 collection plate 107 and dish 109 may be sandwiched together  
9 for simultaneous isolation of plural nucleic acid samples. If  
10 desired, the unit can be modified so that liquid can flow  
11 through the housing under pressure applied to 101 or 101', and  
12 samples are collected via 108, 108'.

13 Another apparatus according to the invention for  
14 isolation of substantially pure nucleic acid includes any  
15 solid relatively inert organic surface such as, e.g., plastic,  
16 an inorganic surface such as a metal surface, the surface  
17 being coated with a matrix as described herein. An example of  
18 preparation and use of a matrix-coated surface is provided  
19 below.

20 For example, a polypropylene Column may be coated with  
21 cellulose matrix as follows. 1 - 10 mg fibrous cellulose,  
22 prepared as described herein is contacted with the activated  
23 surface of the column; e.g., cellulose bound irreversibly to  
24 the plastic. The amount of any type of matrix-coated on the  
25 column will be that amount which is sufficient to bind nucleic  
26 acid without a substantial amount of non-specific binding.  
27 Non-specific binding is that binding which occurs in the  
28 absence of matrix-coating. Non-specific binding is not

1     substantial when less than 5% of nucleic acid is bound in the  
2     presence of matrix. The matrix will be irreversibly bound to  
3     the support surface, i.e., such that it is not lost from the  
4     surface upon elution of the nucleic acid from the support.

5             In use, a biological sample containing nucleic acid is  
6     contacted with the matrix-coated support under conditions  
7     which permit the nucleic acid to bind, as taught hereinabove.  
8     The support may then be washed and the nucleic acid eluted, as  
9     taught herein.  
10

1                                    OTHER EMBODIMENTS

2            Other embodiments will be evident to those of skill in  
3            the art. Although the invention has been shown and described  
4            with respect to an illustrative embodiment thereof, it should  
5            be appreciated that the foregoing and various other changes,  
6            omissions, and additions in the form and detail thereof may be  
7            made without departing from the spirit and scope of the  
             invention as delineated in the claims.

CLAIMS

1. A method of isolating nucleic acid in a substantially purified form, said method comprising the steps of:

a) contacting a biological sample comprising nucleic acid with a matrix under conditions which permit said nucleic acid in said sample to bind to said matrix, said matrix comprising a solid hydrophilic organic polymer without an effective positive charge; and

b) recovering said nucleic acid from said matrix.

2. The method of claim 1 wherein said conditions also permit said nucleic acid in said sample to aggregate.

3. The method of claim 1, said matrix comprising a matrix suspension.

4. The method of claim 1, further comprising the step of contacting said biological sample with a buffer under conditions sufficient to solubilize the nucleic acid.

5. The method of claim 1, wherein said conditions comprise incubating said sample in a nucleic acid precipitating solution.

6. The method of claim 1, further comprising the step, prior to step a) of aggregating said nucleic acid in said biological sample.



7. The method of claim 5, wherein said solution comprises a precipitating ingredient selected from the group consisting of organic solvents, soluble organic polymers and salts.
8. The method of claim 7, wherein the organic solvent may be any one of isopropanol, ethanol, and acetone.
9. The method of claim 7, wherein said organic polymer consists essentially of polyethylene glycol.
10. The method of claim 7, wherein said salt is one of Na<sup>+</sup> and Li<sup>+</sup>.
11. The method of claim 1, wherein said matrix is selected from a group consisting of polysaccharides and polypeptides.
12. The method of claim 11, wherein said polysaccharides are selected from the group consisting of agarose and chitin.
13. The method of claim 3, said matrix comprising cellulose.
14. The method of claim 11, wherein said polypeptides are selected from the group consisting of leather, wool and silk.
15. The method of claim 1, wherein said matrix is selected from the group consisting of naturally occurring polysaccharides and polypeptides, synthetic hydrophilic polymers, and chemically modified polymers that are

hydrophobic polymers which become hydrophilic after chemical modification.

16. The method of claim 1, wherein said matrix is coated on a surface.

17. The method of claim 16, wherein said matrix is coated on an inorganic microparticle.

18. The method of claim 3, wherein said matrix suspension comprises cellulose-coated magnetic beads.

19. The method of claim 1, wherein said matrix is a synthetic gel.

20. The method of claim 19, wherein said synthetic gel is selected from the group consisting of polyacrylamide and hydrogel.

21. The method of claim 1, wherein said matrix is a synthetic fiber.

22. The method of claim 21, wherein said synthetic fiber is selected from the group consisting of polyamides, polyesters, polyacrylonitrile, and polyurethane.

23. The method of claim 1, wherein said matrix is a synthetic plastic.

24. The method of claim 23, wherein said synthetic plastic is selected from the group consisting of synthetic plastics including polycarbonate, phenol-formaldehyde resins, polysulfide, poly(vinyl butyryl), poly(vinyl chloride), poly(vinylidene chloride), poly(ethylene), and polystyrene.
25. The method of claim 21, wherein said synthetic fiber contains at least one polar group selected from the group consisting of hydroxyl, carboxyl, amino, and thiol.
26. The method of claim 18, wherein said synthetic plastic contains at least one polar group selected from the group consisting of hydroxyl, carboxyl, amino, and thiol.
27. A method of isolating nucleic acid in a substantially purified form, said method comprising the steps of:
- a) contacting a biological sample comprising nucleic acid with a matrix-coated support under conditions which permit said nucleic acid in said sample to bind to said matrix, said matrix comprising a solid hydrophilic organic polymer without an effective positive charge; and
  - b) recovering said nucleic acid from said matrix.
28. The method of claim 27, said surface comprising a surface selected from the group consisting essentially of a plate, a well, a column, and a microtiter dish.
29. An apparatus for isolating nucleic acid in a

substantially purified form from multiple biological samples simultaneously, said apparatus comprising:

a plurality of housings, wherein each said housing comprises an inlet and an outlet and defines a flowpath for flow of a sample therethrough, said flowpath comprising a matrix comprising a solid hydrophilic organic polymer without an effective positive charge, and

support means for holding said plurality of housings in place such that nucleic acid in said plural biological samples may be isolated simultaneously.

30. The apparatus of claim 29, wherein each housing of said plurality comprises a barrier means to allow flow of liquid along the flowpath and through the housing outlet, and to prevent the matrix from exiting the housing via the outlet.

31. The apparatus of claim 30, wherein each said housing comprises a lower portion leading to the outlet, and said barrier means is positioned within the lower portion of the housing.

32. The apparatus of claim 29, further comprising a connector for connecting said plurality of housings to a vacuum or pressure source.

33. The apparatus of claim 29, further comprising a collection tray for simultaneously collecting plural nucleic acid samples.

34. An apparatus for isolating nucleic acid in a substantially purified form from a biological sample, comprising;

a support surface containing an irreversibly bound matrix, said matrix comprising a solid hydrophilic organic polymer without an effective positive charge.

35. The apparatus of claim 34, said support surface comprising a cylindrical housing.

36. The apparatus of claim 34, said support surface comprising a multi-well plate.

37. The apparatus of claim 29 or 34, said matrix comprising cellulose.

1/1

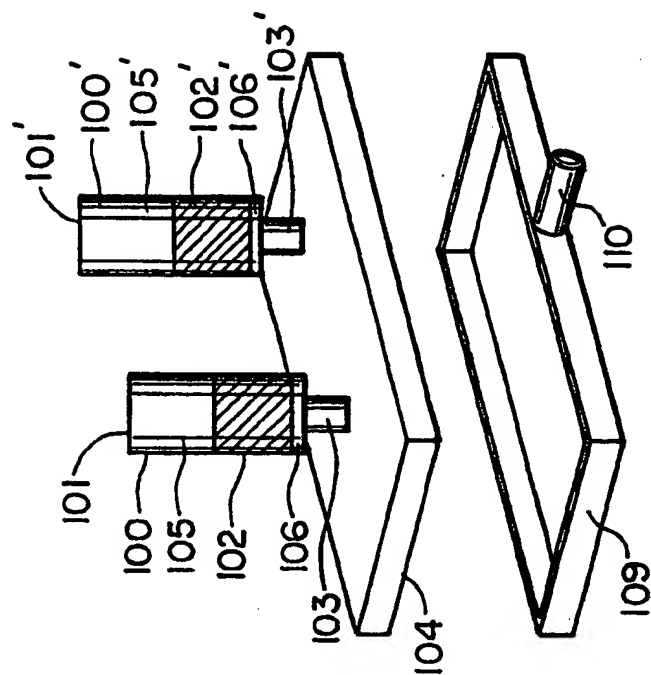


FIG. 2

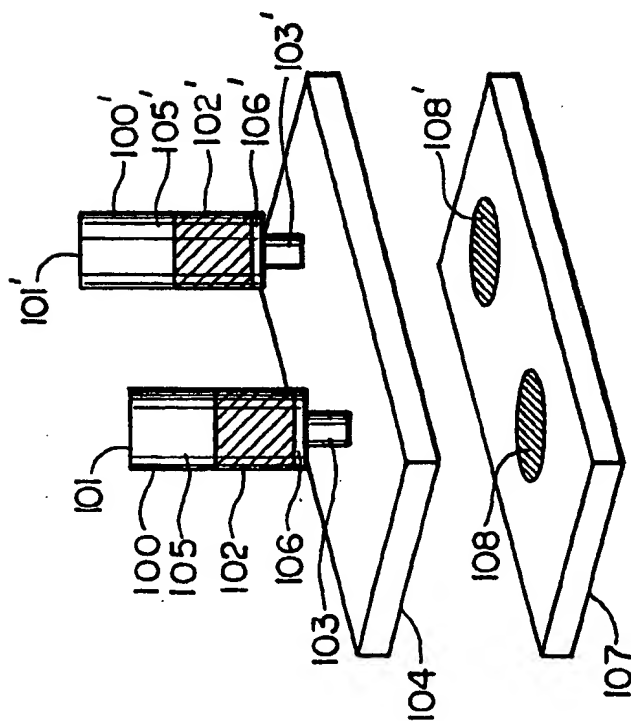


FIG. 1